

07/27/01
1130 U.S. PTO

07-30-01

Express Mail Mailing Label No. EL816799007US

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**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	ITI-002CN
First Named Inventor	Salas
Title	Method and Apparatus for Controlling Access to a Product

jc828 U.S. PTO
09/16/01

07/27/01

APPLICATION ELEMENTS

ADDRESS TO: **Box Patent Application
Commissioner for Patents
Washington, D.C. 20231**

1. <input checked="" type="checkbox"/> Fee Transmittal Form (2 copies)
2. <input checked="" type="checkbox"/> Small Entity Status <input checked="" type="checkbox"/> Applicant claims small entity status <input checked="" type="checkbox"/> Status established in prior application and is still proper and desired
3. <input checked="" type="checkbox"/> Specification and Drawings [Total Pages 48] - Written Description - (30 pages) - Claims - (3 pages) - Abstract - (1 page) - Sheets of Drawings - (13 sheets) <input type="checkbox"/> Formal <input checked="" type="checkbox"/> Informal
4. <input checked="" type="checkbox"/> Oath or Declaration [Total Pages 5] a. <input type="checkbox"/> Newly executed (original) b. <input checked="" type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 17 completed) [Note Box 5 below]
5. <input checked="" type="checkbox"/> Incorporation by Reference (usable if Box 4b is checked) The entire Disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
6. <input checked="" type="checkbox"/> Application Data Sheet
7. <input type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission <input type="checkbox"/> Computer Readable Copy <input type="checkbox"/> Paper Copy (identical to computer copy) <input type="checkbox"/> CD (identical to computer copy) <input type="checkbox"/> Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

8. <input type="checkbox"/> 37 CFR 3.73(b) Statement (when there is an assignee) <input type="checkbox"/> Power of Attorney
9. <input type="checkbox"/> English Translation Document (if applicable)
10. <input checked="" type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input checked="" type="checkbox"/> Copies of IDS Citations
11. <input checked="" type="checkbox"/> Preliminary Amendment (17 pgs.) <input checked="" type="checkbox"/> Formal Drawings [Total Sheets 13] <input type="checkbox"/> Letter to Official Draftsperson Including Drawings [Total Pages]
12. <input checked="" type="checkbox"/> Return Receipt Postcard
13. <input type="checkbox"/> Certified Copy of Priority Document(s)
14. <input type="checkbox"/> Deletion of Inventor(s) Signed statement attached deleting inventor(s) named in the prior application.
15. <input type="checkbox"/> CD in duplicate for large table or computer program.
16. <input checked="" type="checkbox"/> Other: Recordation Form Cover Sheet Assignment Statement Regarding Small Entity Status

17. <input checked="" type="checkbox"/> If a CONTINUING APPLICATION , amend the specification by inserting on page 1, before the first line, the sentence: --This is a <input checked="" type="checkbox"/> Continuation of prior application Serial No. 09/116,558 Priority to the above application(s) is claimed under 35 U.S.C. 120. Prior application information: Examiner: T. Dixon. Group/Art Unit: 2161.
18. <input type="checkbox"/> Priority - 35 U.S.C. 119 <input type="checkbox"/> Priority of application Serial No. _____ filed on _____ in _____ is claimed under 35 U.S.C. 119. <input type="checkbox"/> The certified copy has been filed in prior U.S. application Serial No. _____/_____ on _____. <input type="checkbox"/> The certified copy will follow.

CORRESPONDENCE ADDRESS

SIGNATURE BLOCK

Direct all correspondence to: Patent Administrator
Testa, Hurwitz & Thibault, LLP
High Street Tower
125 High Street
Boston, MA 02110
Tel. No.: (617) 248-7000
Fax No.: (617) 248-7100

Date: July 27, 2001 Reg. No. 47,416 Tel. No.: (617) 248-7690 Fax No.: (617) 248-7100	Respectfully submitted, <u>Elias Behrakis</u> Elias C. Behrakis Agent for Applicants Testa, Hurwitz & Thibault, LLP High Street Tower 125 High Street Boston, MA 02110
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FEE TRANSMITTAL

FY 2001

Complete if Known

Application Serial Number	Not Yet Assigned
Filing Date	Herewith
First Named Inventor	Salas
Group Art Unit	Not Yet Assigned
Examiner Name	Not Yet Assigned
Attorney Docket No.	ITI-002CN

METHOD OF PAYMENT

1. ☐ Payment Enclosed:
☐ Check ☐ Money Order ☐ Other

2. ☒ The Commissioner is hereby authorized to credit or charge any fee indicated below for this submission to Deposit Account No. 20-0531.
☒ Required Fees (copy of this sheet enclosed).
☒ Additional fee required under 37 CFR 1.16 and 1.17.
☒ Overpayment Credit.
3. ☒ Applicant claims small entity status.

FEE CALCULATION

1. FILING FEE

Large Entity

Fee (\$)	Fee Description	Fee Paid
710	Utility filing fee	710.00
320	Design filing fee	
150	Provisional filing fee	

	Number Filed	Number Extra	Rate	Amount
Total Claims	23	- 20 = 3	x \$ 18.00 =	54.00

Independent

Claims	3	- 3 = 0	x \$ 80.00 =	0.00
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☐ Multiple Dependent Claim(s), if any \$270.00 =

TOTAL: 764.00

SMALL ENTITY DISCOUNT: 382.00

SUBTOTAL (1) (\$) 382.00

2. AMENDMENT CLAIM FEES

Claims Remaining After Amend.	Highest No. Previously Paid For	Present Extra	Rate	Fee Paid
Total 50	- 23 =	27	x \$ 18.00 =	486
Indep. 5	- 3 =	2	x \$ 80.00 =	160

☐ First Presentation of Multiple Dep. Claim + \$270.00 =

TOTAL: (\$ 646

SMALL ENTITY DISCOUNT: (\$ 323

SUBTOTAL (2) (\$ 323

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description	Fee Paid
130	65	Surcharge - late filing fee or oath	
50	25	Surcharge - late provisional filing fee or cover sheet	
130	130	Non-English specification	
2,520	2,520	For filing a request for reexamination	
110	55	Extension for reply within first month	
390	195	Extension for reply within second month	
890	445	Extension for reply within third month	
1,390	695	Extension for reply within fourth month	
1,890	945	Extension for reply within fifth month	
310	155	Notice of Appeal	
310	155	Filing a brief in support of an appeal	
270	135	Request for oral hearing	
130	130	Petitions to the Commissioner	
50	50	Petitions related to provisional applications	
180	180	Submission of Information Disclosure Statement	
710	355	Filing a submission after final rejection (37 CFR 1.129(a))	
710	355	For each additional invention to be examined (37 CFR 1.129(b))	
		Recordation of Assignment	40.00
Other fee (Specify)			
Other fee (Specify)			

SUBTOTAL (3) (\$) 40.00

SUBTOTAL (1) 382.00

SUBTOTAL (2) 323.00

SUBTOTAL (3) 40.00

TOTAL (\$) 745.00

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 Elias C. Behrakis
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